

Safeguarding Your Accounts

PMWVG employs the same safeguards as other large investment firms, but with a more personal, customized approach to investing. The following breakdown shows how PMWVG compares to other large investment firms.

Example of a Large Investment Firm

- Custodian – National Financial Services, LLC (NFS), Charles Schwab, etc.
- Broker / Dealer – Fidelity, Schwab brokerage, etc.
- Account Management – Each representative handles hundreds, and maybe thousands of accounts; high turnover

Pogson & Matt Wealth Management Group

- Custodian – TD Ameritrade/Charles Schwab
- Account Management – Each partner handles 25-50 long-term and focused client relationships

FIRM	COMPLIANCE	AUDIT	SIPC	CUSTODIAN	EXCESS INS.
PMWVG	✓	✓	✓	TD Ameritrade /Charles Schwab	✓
Bank of America/ Merrill Lynch	✓	✓	✓	Bank of America/ Merrill Lynch	✓
Fidelity	✓	✓	✓	NFS	✓
Schwab	✓	✓	✓	Charles Schwab	✓
TD Ameritrade	✓	✓	✓	TD Ameritrade	✓

Past performance is no guarantee of future returns. As with any investment or strategy there is the potential for loss of principal as well as potential gain, taking into account time horizon, risk tolerance, goals, and objectives among other considerations.